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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>M400-F111</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/007963</b>	INTERNATIONAL FILING DATE <b>22 July 2003</b>	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10452208</b>
TITLE OF INVENTION <b>ANTITUMOR COMPOUND AND THERAPEUTIC USES THEREOF</b>		PRIORITY DATE CLAIMED <b>23 July 2002</b>
APPLICANT(S) FOR DO/EO/US <b>Cinzia Lanzi et al.</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - ☒ is attached hereto (required only if not communicated by the International Bureau).
  - ☐ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - ☐ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A preliminary amendment.
- ☒ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information:
 

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Fee Transmittal Sheet (1 pgs and Copy - 2 pgs total)

Fee Summary Sheet (2 copies)

Certificate of Express Mailing (1 page) & Copy of International Application (30 pgs)

U.S. APPLICATION NO. <b>10/522081</b>		INTERNATIONAL APPLICATION NO. PCT/EP2003/007963		ATTORNEY'S DOCKET NUMBER M400-F111	
21. The following fees are submitted:				<b>DTOR</b> Rec'd PCT/PTC 21 JAN 2005	
<input checked="" type="checkbox"/>	a) Basic national fee .....	\$300.00	\$	300.00	
<input checked="" type="checkbox"/>	b) Examination fee .....	\$200.00	\$	200.00	
<input checked="" type="checkbox"/>	c) Search fee .....	\$500.00	\$	500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$	1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
30 - 100 =	/50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).			\$	130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	24 - 20 =	4	x 50.00	\$	200.00
Independent claims	3 - 3 =		x	\$	0.00
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	360.00	\$ 360.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$	1,690.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$	845.00	
<b>SUBTOTAL =</b>			\$	845.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			+	\$	
<b>TOTAL NATIONAL FEE =</b>			\$	845.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+	\$	80.00
<b>TOTAL FEES ENCLOSED =</b>			\$	925.00	
Amount to be refunded:			\$		
Amount to be charged:			\$925.00		
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>031182</u> in the amount of \$ <u>925.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>031182</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
			SIGNATURE _____ Donald W. Wyatt		
			NAME _____		
CUSTOMER NUMBER: 32516			40,879 REGISTRATION NUMBER		

10/522081


DT01 Rec'd PCT/PTC 21 JAN 2005

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No: EL230471511US in an envelope addressed to:

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on January 21, 2005  
Date

  
Signature

Donald W. Wyatt

Typed or printed name of person signing Certificate

40,879  
Registration Number, if applicable

(206) 272-4243  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

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Fee Transmittal (1 page – and Copy – 1 Pages (2 pages total))  
Application Data Sheet (4 pages)  
Fee Summary Sheet (2 copies)  
Copy of the International application (30 Pages)  
Transmittal Letter to the United States Designated-Elected Office (2 pages)  
Charge \$925.00 to deposit account 031182

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10/522081

DT01 Rec'd PCT/PT 2 1 JAN 2005

**FEE SUMMARY SHEET****Transmittal to US Designated-Elected Office (PTO 1390)**

Date: January 21, 2005  
Time: 11:16 AM  
Docket: M400-F111

Filing Date: January 21, 2005  
Application No: TBD  
Total Fee: \$ 925.00

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Code	Amount	37 CFR	Fee Description	Listed on
2631	150.00	1.492	Basic National Stage fee	Transmittal to US Designated-Elected Office (PTO 1390)
2632	250.00	1.492	National Stage search fee	Transmittal to US Designated-Elected Office (PTO 1390)
2633	100.00	1.492	National Stage examination fee	Transmittal to US Designated-Elected Office (PTO 1390)
2617	65.00	1.492(e)	National Stage oath or declaration after thirty months from priority date	Transmittal to US Designated-Elected Office (PTO 1390)
8021	80.00	1.21(h)	National Stage recording each patent assignment, agreement or other paper, per property	Transmittal to US Designated-Elected Office (PTO 1390)
2615	100.00	1.492(c)	National Stage claims - extra total (over twenty)	Transmittal to US Designated-Elected Office (PTO 1390)
2616	180.00	1.492(d)	National Stage claims - multiple dependent	Transmittal to US Designated-Elected Office (PTO 1390)

Effective 3/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 925.00

Complete Known

Application Number 01/018,107

Filing Date January 21, 2005

First Named Inventor Cinzia Lanzi

Examiner Name Not Yet Assigned

Art Unit N/A

Attorney Docket No. M400-F111

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 031182 Deposit Account Name: Cell Therapeutics, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
24	- 20 = 4	x	

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
3	- 3 =	x	

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
30	- 100 =	/50	(round up to a whole number) x	

**4. OTHER FEE(S)**

	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge):	
2631 Basic National Stage fee	150.00
2632 National Stage search fee	250.00
2633 National Stage examination fee	100.00
2617 National Stage oath or declaration after thirty ...	65.00
8021 National Stage recording each patent assignment, ...	80.00
2615 National Stage claims - extra total (over twenty)	100.00
2616 National Stage claims - multiple dependent	180.00

**SUBMITTED BY**

Signature	Registration No. (Attorney/Agent) 40,879	Telephone (206) 272-4243
Name (Print/Type) Donald W. Wyatt	Date	January 21, 2005

Express Mail Label No. EL230471511US Dated: January 21, 2005

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